



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNITED STATES DESIGNATED/ELECTED OFFICE

Applicants: **H. Böger, W. Kässner,
and N. Monden**

Application No. **10/523,350** /

Filing Date: **May 03, 2003**

For: **Pressing Plunger Mechanism For
A Glassware Forming Machine**

GAU: **1731**

Examiner: **Unknown**

Atty. Docket No. **P30,361 USA**

Customer No. **23307**

Confirmation No. **8342**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of the Filing Receipt issued by the United States Patent and Trademark Office for the above-captioned application. There are several errors in the filing receipt as detailed below.

- 1) **The total number of claims is incorrectly entered.** The total claims incorrectly read "14". The actual number of claims from the preliminary amendment is --15--.
- 2) **The Assignment information is not entered.** The Recordation Form Cover Sheet and Assignment document were filed together with the application. Copies of the Notice of Acceptance of Application showing that the Assignment was filed on January 28, 2005 and a copy of the Recordation Form Cover Sheet and Assignment as filed are enclosed.
- 3) **The filing receipt received is incorrect.** Applicant submitted Form PTO-2038 authorizing the USPTO to charge \$1,040.00 to cover the filing fees, including the \$40.00 assignment recordal fee. The filing fee received was

\$1,000.00 excluding the \$40.00 assignment fee. A copy of the application transmittal letter detailing the fees is enclosed.

- 4) **The title is incorrectly entered.** The title incorrectly reads "Patric mechanism of a glass-molding machine". The actual title is -- Pressing Plunger Mechanism for a Glassware Forming Machine--.

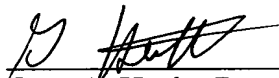
The above corrections are due to a errors on the part of the United States Patent and Trademark Office, and is not due to any error by Applicants; therefore, no fee is due.

In view of the above, Applicants respectfully requests the issuance of a Corrected Filing Receipt indicating the corrections listed above.

Respectfully submitted,

August 25, 2005

Date



Gary A. Hecht, Reg. No. 36,826

SYNNESTVEDT & LECHNER LLP
2600 Aramark Tower
1101 Market Street
Philadelphia, PA 19107-2950

Telephone: (215) 923-4466
Facsimile: (215) 923-2189

GAH/rcc

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/523,350	01/28/2005	1731	4000 1040	30361 USA	6	14 16	1

CONFIRMATION NO. 8342

23307
SYNNESTVEDT & LECHNER, LLP
2600 ARAMARK TOWER
1101 MARKET STREET
PHILADELPHIA, PA 191072950

ENTERED COMPUTER

FILING RECEIPT



OC000000016603117

Date Mailed: 08/01/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hermann Bogert, Auetal, GERMANY;
Waldemar Kassner, Auetal, GERMANY;
Norbert Monden, Auetal, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 23307.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/04659 05/03/2003

Assignment Information
should be added.

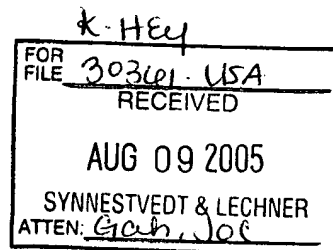
Foreign Applications

GERMANY 202 11 783.9 07/31/2002

Projected Publication Date: 11/03/2005

Non-Publication Request: No

Early Publication Request: No



Title

~~Patix mechanism of a glass molding machine~~

Pressing Plunger Mechanism for a Glassware Forming Machine

Preliminary Class

065

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control,

Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COPY

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U.S. APPLICATION NUMBER NO. 10/523,350	FIRST NAMED APPLICANT Hermann Bogert	ATTY. DOCKET NO. 30361 USA
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INTERNATIONAL APPLICATION NO. PCT/EP03/04659

I.A. FILING DATE 05/03/2003	PRIORITY DATE 07/31/2002
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CONFIRMATION NO. 8342
371 ACCEPTANCE LETTER



OC000000016603118

23307
SYNNESTVEDT & LECHNER, LLP
2600 ARAMARK TOWER
1101 MARKET STREET
PHILADELPHIA, PA 191072950

Date Mailed: 08/01/2005

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

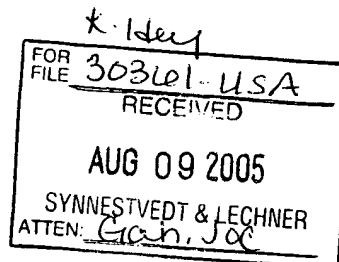
The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>01/28/2005</u>	<u>01/31/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 01/28/2005
- Copy of the International Search Report filed on 01/28/2005
- Copy of IPE Report filed on 01/28/2005
- Preliminary Amendments filed on 01/28/2005
- Information Disclosure Statements filed on 01/28/2005
- Oath or Declaration filed on 01/28/2005
- Copy of references cited in ISR filed on 01/28/2005
- U.S. Basic National Fees filed on 01/28/2005
- Substitute Specification filed on 01/28/2005
- Assignment filed on 01/28/2005
- Priority Documents filed on 01/28/2005



Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

JRM PTO-1595 (Modified)
ev. 03-01)MB No. 0651-0027 (exp. 5/31/2002)
18/REV03**COPY**

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Tab settings → → → ▼ ▼ ▼ ▼ ▼

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Hermann Bogert
Waldemar Kassner
Norbert Monden**

2. Name and address of receiving party(ies):

Name: **Heye International GmbH**

Internal Address: _____

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____Street Address: **Am Ziegeleiweg 3, D-31683 Obernkirchen****GERMANY**

City: _____ State: _____ ZIP: _____

Execution Date: **17 January 2005**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **17 January 2005**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **SYNNESTVEDT & LECHNER LLP**Internal Address: **2600 ARAMARK TOWER**Street Address: **1101 MARKET STREET**City: **PHILADELPHIA** State: **PA** ZIP: **19107**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Gary A. Hecht****January 27, 2005**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **4**

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

WHEREAS WE, 1) Hermann BÖGERT, 2) Waldemar KÄSSNER and
3) Norbert MONDEN, citizens of Germany and residing respectively at
1) Birkenweg 19, D-31749 Auetal, Germany;
2) An der Trift 27, D-31749 Auetal, Germany; and
3) Nelkenweg 6, D-31749 Auetal, Germany; hereinafter called „Assignors“,
have invented new and useful improvements in

Pressing plunger mechanism for a glassware forming machine

for which we have made application for Letters Patent of the United States of
America, by designating the United States of America in international application
PCT/EP 03/04659 based upon German Utility Model Application 202 11 783.9 filed
July 31, 2002; and

WHEREAS Heye International GmbH

having its principal place of business at Am Ziegeleiweg 3, D-31683 Obernkirchen,
Germany, hereinafter called „Assignee“, is desirous of acquiring the entire right, title,
and interest in and to the said application and the invention therein described and
claimed and any Letters Patent that may be issued upon said application or for the
improvements therein contained;

NOW, THEREFORE, for and in consideration of the sum of One U.S. Dollar
(U.S.\$1.00) and other valuable considerations to us in hand paid, the receipt whereof
is hereby acknowledged, we, the said Assignors, have sold, assigned, and

transferred, and do hereby sell, assign, and transfer unto the said Assignee, its successors, and assigns the entire right, title, and interest in and to the said application and the invention therein contained, and any Letters Patent that may issue thereon or therefor in the United States of America and all reissues, extensions, renewals, divisions, and continuations thereof, to the full end of the term or terms for which said Letters Patent may be issued, the same to be held and enjoyed by the said Assignee, its successors, and assigns the same as it would have been held and enjoyed by us if this assignment and sale had not been made.

AND WE HEREBY authorize and request the Commissioner of Patents to issue all such Letters Patents to the said Assignee in accordance with this instrument of assignment.

We further covenant and agree, in consideration of the premises that we, our executors, and administrators will execute and deliver to the said Assignee, its successors, and assigns any further documents or instruments and do any and all further acts that may be deemed necessary by the said Assignee to enable the said Assignee, its successors and assigns, to file applications for the said improvements and invention in the United States of America and that may be necessary to vest in the said Assignee, its successors, and assigns the title herein conveyed or intended so to be, and to enable such title to be recorded in the United States Patent Office.

AND WE further covenant and agree, in consideration of the premises that we, our executors, and administrators will at any time, upon request, communicate to the said Assignee, its successors, and assigns any facts relating to the said invention and improvements and the history thereof, known to us or to our executors and administrators, and that we will testify as to the same in any interference or other litigation when requested so to do by the said Assignee, its successors, and assigns.

IN TESTIMONY WHEREOF, we have hereunto set our hands and seals
this 17th day of January 2005

Hermann Böger
(Hermann BÖGERT)

Waldemar Kässner
(Waldemar KÄSSNER)

Norbert Monden
(Norbert MONDEN)

In the presence of:

Eva Ermis
(Eva ERMIS)

Manfred Behrens
(Manfred BEHRENS)

30,361 USA

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.
PCT/EP 03/04659

INTERNATIONAL FILING DATE
3 May 2003 (03.05.2003)

PRIORITY DATE CLAIMED
31 July 2002 (31.07.2002)

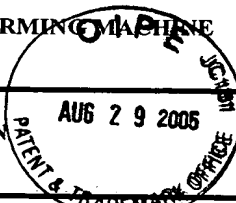
TITLE OF INVENTION

PRESSING PLUNGER MECHANISM FOR A GLASSWARE FORMING MACHINE

COPY

APPLICANT(S) FOR DO/EO/US

Hermann BOGERT, Waldemar KASSNER and Norbert MONDEN



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

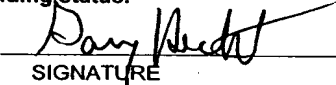
1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 452324325 US
23. ☒ Other items or information:

Application Data Sheet

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP 03/04659		ATTORNEY'S DOCKET NUMBER 30,361 USA	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/> a) Basic national fee \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee \$500.00				\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	/50 =		x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	16 - 20 =	0	x \$50.00	\$ 0.00	
Independent claims	1 - 3 =	0	x \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + 360				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
SUBTOTAL =				\$ 1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1,040.00	
Amount to be refunded:					\$
Amount to be charged:					\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____					
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-5425</u> . A duplicate copy of this sheet is enclosed.					
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: SYNNESTVEDT & LECHNER LLP 1101 MARKET STREET 2600 ARAMARK TOWER PHILADELPHIA, PA 19107-2950 Tele: (215-923-4466			 SIGNATURE Gary A. Hecht NAME 36,826 REGISTRATION NUMBER		